REMARKS:

Claims 2-11, 13-21, and 23-31 are currently pending in the application.

Claims 1-31 stand rejected under 35 U.S.C. § 103(a) over U.S. Patent No.

6,587,969 B1 to Weinberg et al. ("Weinberg").

A Final Office Action in the subject Application was mailed to the undersigned on 26

July 2006 that provides for a response period ending 26 October 2006. The Applicant filed

a Response After Final on 20 September 2006, within two (2) months of the date of the

Final Office Action. An Advisory Action was mailed to the undersigned on 13 October

2006. The Advisory Action stated that proposed amendments raise new issues that would

require further consideration and/or search. (13 October 2006 Advisory Action, Page 1).

The Applicants respectfully reiterate here the arguments set forth in the Amendment After

Final filed on 20 September 2006, as if fully set forth herein.

By this Amendment, independent claims 2, 13, and 23 have been amended to

more particularly point out and distinctly claim the Applicants invention. By making these

amendments, the Applicants make no admission concerning the merits of the Examiner's

rejection, and respectfully reserve the right to address any statement or averment of the

Examiner not specifically addressed in this response. Particularly, the Applicants reserve

the right to pursue broader claims in this Application or through a continuation patent

application. No new matter has been added.

REJECTION UNDER 35 U.S.C. § 101:

The Applicants thank the Examiner for withdrawing the rejection of Claims 2-11, 13-

21, and 23-31 under 35 U.S.C. § 101.

Amendment Attorney Docket No. 020431.1081 Serial No. 10/035,712 Page 11 of 24 REJECTION UNDER 35 U.S.C. § 102(e):

Claims 2-11, 13-21, and 23-31 stand rejected under 35 U.S.C. § 102(e) over

Weinberg.

Although the Applicants believe Claims 2-11, 13-21, and 23-31 are directed to

patentable subject matter without amendment, the Applicants have amended independent

Claims 2, 13, and 23 to more particularly point out and distinctly claim the Applicants

invention. By making these amendments, the Applicants does not indicate agreement with

or acquiescence to the Examiner's position with respect to the rejections of these claims

under 35 U.S.C. § 102(e), as set forth in the Office Action.

The Applicants respectfully submit that the amendments to independent Claims

2, 13, and 23 have rendered moot the Examiner's rejection of Claims 2-11, 13-21,

and 23-31 and the Examiner's arguments in support of the rejection of these claims.

The Applicants further respectfully submit that amended independent Claims 2, 13, and 23

in their current amended form contain unique and novel limitations that are not disclosed,

suggested, or even hinted at in Weinberg. The Applicants still further respectfully submit

that Claims 2-11, 13-21, and 23-31 patentably distinguish over Weinberg. Thus, the

Applicants respectfully traverse the Examiner's rejection of claims 2-11, 13-21, and 23-31

under 35 U.S.C. § 102(e) over Weinberg.

Weinberg Fails to Disclose, Teach, or Suggest Various Limitations Recited in

Applicants Claims

For example, with respect to amended independent Claim 2, this claim recites:

A computer-implemented method for reproducing a selection

of members in a hierarchy, the method performed using a computer

system comprising one or more processing units and one or more memory

units, the method comprising:

providing a member selection interface to a user, the member selection interface capable of providing the user with the ability to

navigate through a hierarchy of members;

Amendment Attorney Docket No. 020431.1081 Serial No. 10/035,712 Page 12 of 24 receiving input of the user from the member selection interface:

determining a sequence of one or more actions associated with a member selection tree, the actions collectively selecting one or more members from the hierarchy of members, the hierarchy of members being associated with a particular dimension of an organization of data:

recording the sequence of actions of the user in a member selection script, the member selection script including a hierarchy selection command for determining the sequence of actions to be recorded; and

executing the recorded member selection script, after the hierarchy of members has been modified, to reproduce the users original input to the member selection interface, based upon the members and hierarchical relationships of the users original inputs from the member selection interface. (Emphasis Added).

Independent Claims 13 and 23 recite similar limitations. *Weinberg* fails to disclose each and every limitation of independent Claims 2, 13, and 23.

The Applicants respectfully submit that Weinberg fails to disclose, teach, or suggest amended independent Claim 2 limitations regarding a "computer-implemented method for reproducing a selection of members in a hierarchy" and in particular Weinberg fails to disclose, teach, or suggest amended independent Claim 2 limitations regarding "providing a member selection interface to a user, the member selection interface capable of providing the user with the ability to navigate through a hierarchy of members". However, as acknowledged by the Examiner, Weinberg merely "describes a method for testing a transactional server". (26 July 2006 Office Action, Page 6). In contrast, the present invention provides a "computer-implemented method for reproducing a selection of members in a hierarchy". In addition, the present invention provides a "member selection interface to a user" wherein the "member selection interface capable of providing the user with the ability to navigate through a hierarchy of members". Thus, the Applicants respectfully submit that the equations forming the foundation of the Examiner's comparison between Weinberg and amended independent Claim 2 cannot be made. The Applicants further respectfully submit that

these distinctions alone are sufficient to patentably distinguish amended independent Claim 2 from *Weinberg*.

The Applicants further respectfully submit that Weinberg fails to disclose, teach, or suggest amended independent Claim 2 limitations regarding "receiving input of the user from the member selection interface". In particular, the Examiner equates "receiving input of the user from the member selection interface" recited in amended independent Claim 2 with "the user interface" disclosed in Weinberg. (26 July 2006 Office Action, Pages 2-3). However, the user interface disclosed in Weinberg is merely a user interface of a testing tool that allows the user to define verification steps to automatically test for expected server responses during test execution, and does not include, involve, or even relate to a member selection interface, as recited in amended independent Claim 2. (Abstract). In contrast, and as discussed above, the "member selection interface" recited in amended independent Claim 2 provides a user with the ability to navigate through a hierarchy of members and select particular members the user desires for a particular function.

The Applicants respectfully submit that a prior art reference (here *Weinberg*) anticipates the claimed invention under 35 U.S.C. § 102 *only if each and every element of a claimed invention is identically shown* in that single reference (here *Weinberg*). MPEP § 2131. (Emphasis Added). With respect to the subject application, *Weinberg* fails to identically disclose the "*member selection interface*", as recited in amended independent Claim 2. Thus, the Applicants respectfully submit that the Office Action has failed to establish a prima facie case of anticipation of amended independent Claim 2 under 35 U.S.C. § 102 with respect to *Weinberg* because *Weinberg* fails to identically disclose the "*member selection interface*", as recited in independent Claim 2. The Applicant further respectfully submits that these distinctions alone are sufficient to patentably distinguish amended independent Claim 2 from *Weinberg*.

The Applicants still further respectfully submit that *Weinberg* fails to disclose, teach, or suggest amended independent Claim 2 limitations regarding "determining a sequence of one or more actions associated with a member selection tree, the

actions collectively selecting one or more members from the hierarchy of members, the hierarchy of members being associated with a particular dimension of an organization of data". In particular, the Examiner equates "the hierarchy of members being associated with a particular dimension of an organization of data" recited in amended independent Claim 2 with the server screen disclosed in Weinberg. (26 July 2006 Office Action, Page 3). However, the server screen disclosed in Weinberg is merely a separate window that is displayed to the user and has nothing to do with a particular dimension of an organization of data, as recited in amended independent Claim 2. (Column 3, Lines 11-36). In contrast, "the hierarchy of members being associated with a particular dimension of an organization of data" recited in amended independent Claim 2 allows a user to select a particular data dimension from which members are to be selected and may include, but is not limited to, a product dimension, a geography dimension, and a time dimension. Thus, the Applicants respectfully submit that the equations forming the foundation of the Examiner's comparison between Weinberg and amended independent Claim 2 cannot be made. The Applicants further respectfully submit that these distinctions alone are sufficient to patentably distinguish amended independent Claim 2 from Weinberg.

The Applicants yet further respectfully submit that *Weinberg* fails to disclose, teach, or suggest amended independent Claim 2 limitations regarding "recording the sequence of actions of the user in a member selection script, the member selection script including a hierarchy selection command for determining the sequence of actions to be recorded". Rather, as acknowledged by the Examiner, *Weinberg* discloses a testing tool for testing the functionality of a transactional server, (26 July 2006 Office Action, Page 6), where a recorder module merely records a series of user steps. (Abstract, Figures 6A-6C). The testing tool in *Weinberg* merely displays these recorded user steps to allow for verification of expected server responses and fails to teach, suggest, or even hint at recording the sequence of actions, as recited in amended independent Claim 2. (Abstract).

In fact, Weinberg teaches away from the claimed invention because the recorder module of Weinberg merely records the particular members that the user selects,

i.e. business process steps. (Figures 6A-6C). In essence, Weinberg's recorder module merely records a "snapshot" of the particular members that the user selects and therefore, has the problem of recreating the desired member selections when the underlying hierarchical structures change. Thus, Weinberg cannot provide for "recording the sequence of actions of the user in a member selection script, the member selection script including a hierarchy selection command for determining the sequence of actions to be recorded", since Weinberg does not teach, suggest, or even hint at recording the sequence of events (actions) that the user went through to determine the members that are selected.

In addition, the Examiner equates "recording the sequence of actions of the user in a member selection script, the member selection script including a hierarchy selection command for determining the sequence of actions to be recorded' recited in amended independent Claim 2 with storing the user steps in memory disclosed in Weinberg. (26 July 2006 Office Action, Page 3). However, storing the user steps in memory disclosed in Weinberg is merely for recording the particular members that the user selects and has nothing to do with recording the sequence of actions of the user, as recited in Applicants claims. (Figures 6A-6C). In contrast, "recording the sequence of actions of the user in a member selection script, the member selection script including a hierarchy selection command for determining the sequence of actions to be recorded' recited in amended independent Claim 2 is based on the sequence of events (actions) that the user went though to determine the members that were selected. Thus, the Applicants respectfully submit that the equations forming the foundation of the Examiner's comparison between Weinberg and amended independent Claim 2 cannot be made. The Applicants further respectfully submit that these distinctions alone are sufficient to patentably distinguish amended independent Claim 2 from Weinberg.

The Applicants further respectfully submit that *Weinberg* fails to disclose, teach, or suggest amended independent Claim 2 limitations regarding "executing the recorded member selection script, *after the hierarchy of members has been modified*, to reproduce the users original input to the member selection interface, based upon the

members and hierarchical relationships of the users original inputs from the member selection interface". Rather, Weinberg discloses creating a test script when the recorder module records the particular members that the user selects. (Figures 6A-6C). Weinberg merely discloses a hard coded set of members used to perform a particular business process which may become out of date and have to be recreated when members of Weinberg's hierarchical dimension are added or deleted. Weinberg does not disclose, teach, or suggest executing this sequence of events (actions) once the hierarchy is modified and thereafter produce a new selection of members that satisfies the user's original intent. Thus, Weinberg cannot provide for "executing the recorded member selection script, after the hierarchy of members has been modified, to reproduce the users original input to the member selection interface, based upon the members and hierarchical relationships of the users original inputs from the member selection interface", since Weinberg does not even provide for (1) recording the seguence of events (actions) that the user went through to determine the members that are selected; or (2) executing this sequence of events (actions) once the hierarchy is modified and thereafter produce a new selection of members that satisfies the user's original intent.

In addition, the Examiner equates "after the hierarchy of members has been modified" recited in amended independent Claim 2 with modifying nodes disclosed in Weinberg. (26 July 2006 Office Action, Page 3). However, modifying nodes disclosed in Weinberg is merely provided for editing properties of the nodes to modify the test and has nothing to do with after the hierarchy of members has been modified. (Column 25, Lines 20-49). In contrast, "after the hierarchy of members has been modified" recited in amended independent Claim 2 is related to reproducing the desired member selections when the underlying hierarchical structures change. Thus, the Applicants respectfully submit that the equations forming the foundation of the Examiner's comparison between Weinberg and amended independent Claim 2 cannot be made. The Applicants further respectfully submit that these distinctions alone are sufficient to patentably distinguish amended independent Claim 2 from Weinberg.

The Office Action Fails to Properly Establish a *Prima Facie* case of Anticipation over *Weinberg*

The Applicants respectfully submit that the allegation in the present Office Action that *Weinberg* discloses all of the claimed features is respectfully traversed. Further, it is noted that the Office Action provides no concise explanation as to how *Weinberg* is considered to anticipate all of the limitations in Claims 2-11, 13-21, and 23-31. *A prior art reference anticipates the claimed invention under 35 U.S.C.* § 102 only if each and every element of a claimed invention is identically shown in that single reference. MPEP § 2131. (Emphasis Added).

With respect to the subject application, the Examiner has not adequately supported the rejection under 35 U.S.C. § 102, because the Examiner has not shown how each and every element of the Applicants claimed invention is identically shown in Weinberg. For example, the Examiner asserts that "the hierarchy of members being associated with a particular dimension of an organization of data", in amended independent Claim 2 is somehow equivalent to a screen object of the sever screen. (26 July 2006 Office Action, Page 3). The Applicants respectfully disagree and further respectfully request clarification as to how the Examiner arrives at this conclusion. In another example, the Examiner asserts that "recording the sequence of actions of the user in a member selection script, the member selection script including a hierarchy selection command for determining the sequence of actions to be recorded", in amended independent Claim 2 is somehow equivalent to merely recording the particular members that the user selects. (26 July 2006 Office Action, Page 3). The Applicants respectfully disagree and further respectfully request clarification as to how the Examiner arrives at this conclusion. In still another example, the Examiner asserts that "after the hierarchy of members has been modified", in amended independent Claim 2 is somehow equivalent to modifying nodes (i.e. editing properties of the nodes to modify the test). (26 July 2006 Office Action, Page 3). The Applicants respectfully disagree and further respectfully request clarification as to how the Examiner arrives at this conclusion.

The Applicants respectfully point out that "it is incumbent upon the examiner to identify wherein each and every facet of the claimed invention is disclosed in the applied

reference." Ex parte Levy, 17 U.S.P.Q.2d (BNA) 1461, 1462 (Pat. & Tm. Off. Bd. Pat.

App. & Int. 1990). The Applicants respectfully submit that the Office Action has failed to

establish a prima facie case of anticipation in Claims 2-11, 13-21, and 23-31 under 35

U.S.C. § 102 with respect to Weinberg because Weinberg fails to identically disclose

each and every element of the Applicants claimed invention, arranged as they are in

Applicants claims.

The Applicants Claims are Patentable over Weinberg

With respect to amended independent Claims 13 and 23 these claims includes

limitations similar to those discussed above in connection with amended independent

Claim 2. Thus, amended independent Claims 13 and 23 are considered patentably

distinguishable over Weinberg for at least the reasons discussed above in connection with

amended independent Claim 2.

Furthermore, with respect to dependent Claims 3-11, 14-21, and 24-31: Claims 3-

11 depend from amended independent Claim 2, dependent Claims 14-21 depend from

amended independent Claim 13, and dependent Claims 24-31 depend from amended

independent Claim 23 are also considered patentably distinguishable over Weinberg.

Thus, dependent Claims 3-11, 14-21, and 24-31 are considered to be in condition for

allowance for at least the reason of depending from an allowable claim.

Thus, for at least the reasons set forth herein, the Applicants respectfully submit

that amended independent Claims 2, 13, and 23 and dependent Claims 3-11, 14-21, and

24-31 are not anticipated by Weinberg. The Applicants further respectfully submit that

amended independent Claims 2, 13, and 23 and dependent Claims 3-11, 14-21, and 24-

31 are in condition for allowance. Thus, the Applicants respectfully requests that the

rejection of Claims 2-11, 13-21, and 23-31 under 35 U.S.C. § 102(e) be reconsidered and

that Claims 2-11, 13-21, and 23-31 be allowed.

Amendment Attorney Docket No. 020431.1081 Serial No. 10/035,712 THE LEGAL STANDARD FOR ANTICIPATION REJECTIONS UNDER 35 U.S.C. § 102:

The following sets forth the legal standards for "anticipation."

The events that can lead to anticipation can be divided into the following seven

categories, all defined by statute:

1. <u>Prior Knowledge</u>: The invention was publicly known in the United States

before the patentee invented it.

2. <u>Prior Use</u>: The invention was publicly used in the United States either (i)

before the patentee invented it; or (ii) more than one year before he filed his patent

application.

3. <u>Prior Publication</u>: The invention was described in a printed publication

anywhere in the world either (i) before the patentee invented it; or (ii) more than one year

before he filed his patent application.

4. <u>Prior Patent</u>: The invention was patented in another patent anywhere in the

world either (i) before the patentee invented it; or (ii) more than one year before he filed his

application.

5. On Sale: The invention was on sale in the United States more than one

year before the patentee filed his application.

6. Prior Invention: The invention was invented by another person in the United

States before the patentee invented it, and that other person did not abandon, suppress or

conceal the invention.

7. <u>Prior U.S. Patent</u>: The invention was described in a patent granted on a

patent application filed in the United States before the patentee made the invention.

Each of those seven events has its own particular requirements, but they all have

the following requirements in common:

1. Anticipation must be shown by clear and convincing evidence.

2. If one prior art reference completely embodies the same process or product

as any claim, the product or process of that claim is anticipated by the prior art, and that

claim is invalid. To decide whether anticipation exists, one must consider each of the

elements recited in the claim and determine whether all of them are found in the particular

item alleged to be anticipating prior art.

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3. There is no anticipation unless every one of those elements is found in a

single prior publication, prior public use, prior invention, prior patent, prior knowledge or

prior sale. One may not combine two or more items of prior art to make out an

anticipation. One should, however, take into consideration, not only what is expressly

disclosed or embodied in the particular item of prior art, but also what inherently occurred

in its practice.

4. There cannot be an accidental or unrecognized anticipation. A prior

duplication of the claimed invention that was accidental, or unrecognized, unappreciated,

and incidental to some other purpose is not an invalidating anticipation.

Those four requirements must be kept in mind and applied to each kind of

anticipation in issue. The following additional requirements apply to some categories of

anticipation.

1. Prior Knowledge: An invention is anticipated if it was known by others in the

United States before it was invented by the patentee. "Known," in this context, means

known to the public. Private knowledge, secret knowledge or knowledge confined to a

small, limited group is not necessarily an invalidating anticipation. Things that were known

to the public only outside the United States are not invalidating anticipation.

2. Prior Use: An invention is anticipated if it was used by others before it was

invented by the patentee, or more than one year before the patentee filed his patent

application. "Use," in this context, means a public use.

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- 3. Prior Publication: A patent is invalid if the invention defined by the Claims was described in a printed publication before it was invented by the patentee or more than one year prior to the filing date of his application. For a publication to constitute an anticipation of an invention, it must be capable, when taken in conjunction with the knowledge of people of ordinary skill in the art, of placing the invention in the possession of the reader. The disclosure must be enabling and meaningful. In determining whether the disclosure is complete, enabling, and meaningful, one should take into account what would have been within the knowledge of a person of ordinary skill in the art at the time, and one may consider other publications that shed light on the knowledge such a person would have had.
- 4. <u>Prior Patent</u>: If the invention defined by the claims was patented in the United States or a foreign country, either before it was invented by the inventor or more than one year before the inventor filed his patent application, then the invention was anticipated. The effective date for this type of anticipation is the date on which two things co-existed: (i) the owner of the referenced patent had the right to enforce that patent; and (ii) the reference patent was available to the public. What was "patented" in the reference patent is determined by what is defined by its claims, interpreted in the light of the general description.
- 5. On Sale: A patent is invalid if the invention claimed in it was on sale in the United States more than one year prior to the application filing date.
- 6. <u>Prior Invention</u>: If the invention defined by the claims was invented by another person, in the United States, before it was invented by the inventor, and that other person did not abandon, suppress, or conceal the invention, the invention lacks novelty. A prior invention, even if put in physical form and shown to produce the desired result, is not an invalidating anticipation unless some steps were taken to make it public. However, it is not necessary that the inventor had knowledge of that prior invention.
- 7. <u>Prior U.S. Application</u>: A patent is invalid for lack of novelty if the invention defined by the claims was described in a United States patent issued on a patent application filed by another person before the invention was made by the inventor. The

effective date of a prior application for purposes of this issue is the date on which it was

filed in the United States. Foreign-filed patent applications do not apply. If the issued

United States patent claims the benefit of more than one United States application, its

effective date as an anticipation is the filing date of the first United States application that

discloses the invention claimed in that referenced patent.

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Experimental Use Exception: The law recognizes that it is beneficial to permit the

inventor the time and opportunity to develop his invention. As such there is an

"experimental use" exception to the "public use" and "on sale" rules. Even though the

invention was publicly used or on sale, more than one year prior to the application filing

date, that does not invalidate the patent, provided the principal purpose was experimenta-

tion rather than commercial benefit. If the primary purpose was experimental, it does not

matter that the public used the invention or that the inventor incidentally derived profit from

it.

When a public use or sale is shown, the burden is on the inventor to come forward

with evidence to support the experimental use exception. Only experimentation by or

under the control of the inventor qualifies for this exception. Experimentation by a third

party, for its own purposes, does not qualify for this exception. Once the invention leaves

the inventor's control, its use is a public one, even if further experimentation takes place.

The experimentation must relate to the claimed features of the invention. And it

must be for the purpose of technological improvement, not commercial exploitation. If any

commercial exploitation does occur, it must be merely incidental to the primary purpose of

experimentation. A test done primarily for marketing, and only incidentally for

technological improvement, is a public use.

Amendment Attorney Docket No. 020431.1081 Serial No. 10/035,712 **CONCLUSION:**

In view of the foregoing amendments and remarks, this application is considered to

be in condition for allowance, and early reconsideration and a Notice of Allowance are

earnestly solicited.

A Request for Continued Examination (RCE) is being filed in duplicate concurrently

herewith to facilitate the processing of this deposit account authorization. The

Commissioner is hereby authorized to charge the RCE Fee of \$790.00 to Deposit

Account No. 500777. Because this Amendment is filed prior to the ending of the

response period 26 October 2006 of the Final Office Action mailed on 26 July 2006, no

additional fees are deemed to be necessary; however, the undersigned hereby authorizes

the Commissioner to charge any additional fees that may be required, or credit any

overpayments, to **Deposit Account No. 500777**.

Please link this application to Customer No. 53184 so that its status may be

checked via the PAIR System.

Respectfully submitted,

<u>10/18/0</u>6 Date

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